


Distributor's ARN	Sub-Broker's ARN	Sub-Broker's Code	EUIN (Mandatory)

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

 Sole/Frist Applicant	 Second Applicant	 Third Applicant
To be signed by All Applicants if mode of operation is "Joint"		

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

Investor's Information

Folio No. <i>(For Existing Investors)</i>	Application No. <i>(For New Investors, Please attach the application form)</i>	
Sole/ First Applicant	Second Applicant	Third Applicant
Name of Applicant	Name of Applicant	Name of Applicant
PAN	PAN	PAN
Date of Birth	Date of Birth	Date of Birth
CKYC No.	CKYC No.	CKYC No.
E-mail	E-mail	E-mail

FSTP Switch-out Scheme details (Please ✓)

	Plan	Option/ Dividend Frequency
<input type="checkbox"/> Kotak Liquid	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	
<input type="checkbox"/> Kotak Money Market Scheme	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	
<input type="checkbox"/> Kotak Savings Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	
<input type="checkbox"/> Kotak Low Duration Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	
<input type="checkbox"/> Kotak Equity Arbitrage Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	

FSTP Switch-in Scheme details (Please ✓)

	Plan	Option
<input type="checkbox"/> Kotak Bluechip Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak Equity Opportunities Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak Small Cap Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak India EQ Contra Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak Tax Saver	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak Emerging Equity Scheme	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak Global Emerging Market	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak Standard Multicap Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak Equity Savings Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak Infrastructure & Economic Reform Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak Equity Arbitrage Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak Balanced Advantage Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth
<input type="checkbox"/> Kotak Focused Equity Fund	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Growth

FSTP Amount

FSTP Instalment Amount (P/E >15)	Rs.
Max FSTP instalment Amount (P/E <=15)	Rs.



Note: - In case of Max FSTP instalment Amount not mentioned, the default option considered shall be three times the FSIP instalment amount.

Frequency (Please ✓) <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly		Period From	mm/yyyy	To	mm/yyyy
Specify Day/ Date <input type="text" value="Specify Day"/> <input type="text" value="Specify Date"/>					

Declaration and Signatures

I/We have read and understood the contents of the SID/ SAI of the above referred Scheme(s) of Kotak Mahindra Mutual Fund. I/We hereby apply for allotment / purchase of Units in the Scheme(s) indicated as above and agree to abide by the terms and conditions applicable there to. I/We hereby declare that I/We authorized to make this investment in the above mentioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorize Kotak Mahindra Mutual Fund, its investment Manager and its agents to disclose details of my investment to my/ our Investment Advisor and/ or banks.

I/We have neither received nor been induced by any rebate or gifts, directly, in making this investment.

 Sole/Frist Applicant	 Second Applicant	 Third Applicant
To be signed by All Applicants if mode of operation is "Joint"		

FSTP Snapshot

Flex Systematic Transfer Plan (FSTP)

Transferor Schemes	Kotak Mahindra Liquid Scheme, Kotak Floater Short Term, Kotak Treasury Advantage, Kotak Low Duration, Kotak Equity Arbitrage Fund	
Transferee Scheme	All open ended equity mutual fund schemes	
Frequency	Weekly	Monthly / Quarterly
Choice of Day / Date	Any Business Day (Mon-Fri)	Any Business Date (1-31)
Minimum Transfers / Withdrawals	6	
Minimum Amount	Rs.1,000	

Instructions & Information

- Please refer the Key Information Memorandum and Scheme Information Document of the respective Scheme(s) and Statement of Additional Information for Applicable NAV, Risk Factors, Load Structure and other information on the Scheme(s).
- KYC is mandatory irrespective of FSTP amount. In case of minor applicants, the Guardian needs to be KYC Compliant.
- FSTP registration request needs to be submitted to the Registrar/ AMC 10 days prior to the date of commencement of FSTP. In case the FSTP commencement date is less than 10 days from the date of submission of registration form and the date opted for, then the same would be registered for the next cycle. The AMC reserves the right to process the FSTP registration request received for a period lesser than 10 days in the interest of unit holders.
- Calculation of FSTP Amount on each FSTP date will be based on the trailing Price of Equity Ratio(P/E) band of Nifty 50 Index on the date of transfer (T day).
 - If the P/E ratio is greater than 15, then the specified FSTP installment amount gets invested.
 - If the P/E ratio is lesser than or equal to 15, then
 - Then the specified Max FSTP Installment amount as mentioned by the investor in the application Form for PE level ≤ 15 .
OR
 - If no such amount is specified then the default amount which is 3 times the FSTP installment amount will be transferred to transferee scheme.
- The first FSTP instalment will be processed as per the standard instalment amount specified by the investor at the time of enrolment and not on PE value of Nifty 50
- Investor at the time of registration will have an option to specify the amount to be invested at the PE level of ≤ 15 . The amount will have to be higher than the specified FSTP standard amount for investment at PE level of > 15 . In case the investor does not specify the amount for PE level of ≤ 15 , then the default amount (3 times the specified amount for standard FSTP amount shall be applicable when PE band > 15) shall be applicable.
- Individual Enrolment Form should be filled for each FSTP transaction.
- The option available in transferee scheme is Growth option. In case of any discrepancy, it will be deemed as the growth option in the transferee scheme and processed accordingly.
- FSTP can be opted for "Any Day" i.e (Monday to Friday) for Weekly option and "Any Date" i.e. (1st to 30th/31st of a given month) for Monthly and Quarterly option.
- If FSTP end date is not mentioned/ in case of ambiguity the FSTP shall continue till availability of funds in the source scheme.
- The process of FSTP has been explained below through an illustration using the default option Assumptions:

Instalment amount – Rs. 2,500		
PE Band	Allocation	Instalment Amount (Rs.)
> 15	1x	2,500
≤ 15	3x	7,500

Date	Assumed Nifty P/E Ratio	Assumed Equity Scheme NAV	FSIP Instalment Amount (Rs.)	Units	Accumulated Units	Valuation (Rs.)
1-May-15	21.4	10.0	2,500.0	250.0	250.0	2,500
1-Jun-15	22.0	10.9	2,500.0	228.4	478.4	2,736
1-Jul-15	21.9	11.0	2,500.0	226.6	705.0	5,277
1-Aug-15	21.4	10.7	2,500.0	233.0	938.0	7,565
1-Sep-15	21.0	10.8	2,500.0	232.0	1,170.0	10,107
1-Oct-15	18.6	9.6	2,500.0	260.6	1,430.6	11,226
1-Nov-15	18.9	9.7	2,500.0	257.9	1,688.5	13,869
1-Dec-15	18.1	10.2	2,500.0	245.2	1,933.7	17,212
1-Jan-16	17.9	10.1	2,500.0	247.8	2,181.5	19,509
1-Feb-16	17.4	10.0	2,500.0	250.1	2,431.6	21,805
1-Mar-16	16.5	10.1	2,500.0	247.0	2,678.7	24,608
1-Apr-16	16.2	10.0	2,500.0	249.4	2,928.1	26,853
1-May-16	14.7	9.4	7,500.0	802.0	3,730.0	27,384
1-Jun-16	14.8	9.1	7,500.0	823.8	4,553.8	33,957
1-Jul-16	15.8	9.5	2,500.0	262.1	4,816.0	43,432
1-Aug-16	14.9	9.1	7,500.0	825.1	5,641.1	43,775
1-Sep-16	13.9	8.8	7,500.0	855.8	6,496.9	49,439
1-Oct-16	15.7	9.4	2,500.0	264.7	6,761.6	61,351
1-Nov-16	16.0	9.5	2,500.0	262.3	7,023.9	64,436
1-Dec-16	15.5	9.6	2,500.0	261.8	7,285.7	67,085

- Once the FSTP has been stopped, the unit holder needs to provide a new request to start FSTP.
- In respect of FSTP enrolments made in any of the existing open end schemes, the load structure prevalent at the time of enrolment shall be applicable to the investors during the tenure of the FSTP.
- FSTP will be automatically terminated if all units are liquidated or withdrawn from the transferor scheme or lien/pledged.
- FSTP Facility will not be available if the folio/ Certificate is under Lien or marked "Frozen" on the Advice of I.T. Authorities / Regulatory Authorities / Court or for any other reason.
- All request for registering or deactivating the FSTP shall be subject to an advance notice of 10 (Ten) business days. Investors can deactivate the facility by sending a written request to the investor Service Centres. (In case of Weekly FSTP, during the notice period the transaction will be processed).